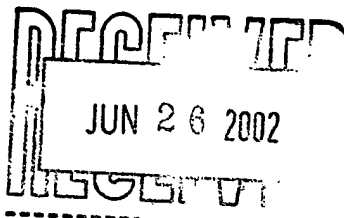




## THE UNITED STATES PATENT AND TRADEMARK OFFICE

#12



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JUN 19 2002

Technology Center 2100

APPLICANT: de Jong et al.

SERIAL NO.: 10/014,893

FILING DATE: October 29, 2001

TITLE: USER ACCESS CONTROL TO DISTRIBUTED RESOURCES  
ON A COMMUNICATIONS NETWORK

EXAMINER: [not yet assigned]

ART UNIT: 2152

CERTIFICATE OF MAILING

I hereby certify that this paper is being deposited with the United States Postal Service as First Class  
Mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231, on the date  
printed below:

Date:

6-7-02  
June 7, 2002

Name:

Sherri L. Ballbach  
Sherri L. Ballbach

COMMISSIONER FOR PATENTS  
WASHINGTON, D.C. 20231

INFORMATION DISCLOSURE STATEMENT

Each item of information listed in the attached FORM PTO-1449, for which a  
copy of each is attached, may be material to the examination of the above-identified  
application and is, therefore, submitted in compliance with the duty of disclosure defined  
in 37 CFR §§ 1.56, 1.97 and 1.98. The Examiner is requested to make these items of  
official record in this application.

This Information Disclosure Statement under 37 CFR §§ 1.56, 1.97 and 1.98 is not to be construed as a representation that a search has been made, that additional information material to the examination of this application does not exist, or that any one or more of these items constitutes prior art.

# I

This statement is filed pursuant to:

(X) 37 C.F.R. § 1.97(b).

This information disclosure statement is filed either (1) within three months of the filing date of a national application other than a continued prosecution application under §1.53(d); (2) within three months of the date of entry of the national stage as set forth in 37 C.F.R. § 1.491 in an international application; or (3) before the mailing date of a first office action on the merits, whichever event occurs last.

Accordingly, this information disclosure statement requires no fee and no certification.

( ) 37 C.F.R. § 1.97(c).

This information disclosure statement is filed after the period specified in 37 C.F.R. § 1.97 (b), but before the mailing date of either (1) a final action under 37 C.F.R. § 1.113; (2) a notice of allowance under 37 C.F.R. § 1.311; or (3) an action that otherwise closes prosecution in the application.

Accordingly, this information disclosure statement requires either the fee specified in 37 C.F.R. § 1.17 (p) for submission of an information disclosure statement under 37 C.F.R. § 1.97 (c) (\$180); or a certification according to 37 C.F.R. § 1.97 (e).

( ) 37 C.F.R. § 1.97(d).

This information disclosure statement is filed after the period specified in 37 C.F.R. § 1.97 (c).

Accordingly, this information disclosure statement requires the fee specified in 37 C.F.R. § 1.17 (p) to consider an information disclosure statement under 37 C.F.R. § 1.97(d) (\$180), and a certification according to 37 C.F.R. § 1.97(e).

If this statement crosses in the mail with an office action, or is otherwise not in the indicated category of 37 C.F.R. § 1.97, it is respectfully requested that this statement be treated in the next appropriate category and made of record. To the extent required, please treat this paper as a conditional petition for acceptance of the information disclosure statement.

## II

- (X) No fee is due.
- ( ) The fee specified in 37 C.F.R. § 1.17(p) for submission of an information disclosure statement under 37 C.F.R. § 1.97(c) is enclosed (\$180).

Please charge any additional required fee or credit any overpayment to our deposit account number 50-1698. An additional copy of this page is enclosed.

## III

Pursuant to 37 C.F.R. § 1.97(e), I certify:

- (X) No certification is necessary.
- ( ) (1) Each item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the statement.
- ( ) (2) No item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, or, to my knowledge after making reasonable inquiry, was known to any individual designated in 37 C.F.R. § 1.56(c), more than three months prior to the filing of the statement.

## IV

### ( ) **Petition for Acceptance of Information Disclosure Statement**

If this paragraph is checked above, Applicant hereby petitions the Commissioner of Patents to accept the attached Information Disclosure Statement. A fee in accordance with 37 C.F.R. § 1.17(i) is included herewith or, in the alternative, the

**COPY**

**II**

- (X) No fee is due.
- ( ) The fee specified in 37 C.F.R. § 1.17(p) for submission of an information disclosure statement under 37 C.F.R. § 1.97(c) is enclosed (\$180).

Please charge any additional required fee or credit any overpayment to our deposit account number 50-1698. An additional copy of this page is enclosed.

**III**

Pursuant to 37 C.F.R. § 1.97(e), I certify:

- (X) No certification is necessary.
- ( ) (1) Each item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the statement.
- ( ) (2) No item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, or, to my knowledge after making reasonable inquiry, was known to any individual designated in 37 C.F.R. § 1.56(c), more than three months prior to the filing of the statement.

**IV**


( ) **Petition for Acceptance of Information Disclosure Statement**

If this paragraph is checked above, Applicant hereby petitions the Commissioner of Patents to accept the attached Information Disclosure Statement. A fee in accordance with 37 C.F.R. § 1.17(i) is included herewith or, in the alternative, the

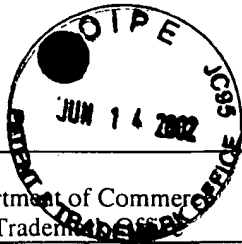
Commissioner is authorized to debit the deposit account of the undersigned (50-1698) for the amount of the fee and any other charges required hereby.

Respectfully submitted,  
THELEN REID & PRIEST LLP

Dated: June 7, 2002

  
\_\_\_\_\_  
John P. Schaub  
Reg. No. 42,125

THELEN REID & PRIEST LLP  
P.O. Box 640640  
San Jose, CA 95164-0640  
(408) 292-5800



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Page 1 of 1

Form PTO 1449  
(Rev. 2-32)

U.S. Department of Commerce  
Patent and Trademark Office

Atty. Docket No.  
SUN-P6992

Serial No.  
10/014,893

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JUN 19 2002

**Information Disclosure Statement by Applicant**

Applicant: de Jong et al.

Technology Center 210

(Use several sheets if necessary)

Filed: 10/29/01 Group: 2152

**U.S. Patent Documents**

Init.		Document No.	Date	Name	Class	Subclass	Filing Date
	A	6,212,633	04/03/01	Levy et al.	713	153	06/26/98

**Foreign Documents**

Translation

Init.		Document No.	Date	Country	Class	Subclass	Yes	No

**Other Documents (Including Author, Title, Date, Pertinent Pages, etc.)**

	B	Deianov, Borislav, "Authentication - Lamport Hash and Biometrics", printed on 1/9/02 from <a href="http://www.cs.cornell.edu/html/cs513-sp99/NL12.html">http://www.cs.cornell.edu/html/cs513-sp99/NL12.html</a> .
	C	"Sun Leads Authentication Alliance", September 27, 2001, printed from <a href="http://www.informationweek.com/story/IWK20010927S0001">http://www.informationweek.com/story/IWK20010927S0001</a> .

Examiner

Date Considered

Examiner: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include a copy of this form with the next communication to applicant.